

Test Report

No. TSNEC1801895401

Date: 12 Oct 2018

Page 1 of 8

JINAN HFZT ELECTRONIC CO., LTD

NO.978 TIANCHEN ROAD, HI-TECH DEVELOPMENT ZONE, JINAN CITY
CHINA

The following sample(s) was/were submitted and identified on behalf of the clients as : GLASS DIODES

SGS Job No. : TP18-008275 - TJ

Model No. : LL34

Client Ref. Info. : Other Model No.:
DO-35, DO-34, DO-41G, LL34(MINI-MELF), LL41(MELF)

Date of Sample Received : 09 Oct 2018

Testing Period : 09 Oct 2018 - 12 Oct 2018

Test Requested : Selected test(s) as requested by client.

Test Method : Please refer to next page(s).

Test Results : Please refer to next page(s).

Signed for and on behalf of
SGS-CSTC Standards Technical Services (Tianjin) Co., Ltd.



Reabeca Zhou
Approved Signatory



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at <http://www.sgs.com/en/Terms-and-Conditions.aspx> and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at <http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Documents.aspx>. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention: To check the authenticity of testing / inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN.Doccheck@sgs.com

SGS-CSTC Standards Technical Services (Tianjin) Co., Ltd.
Chemical Laboratory

SGS Mansion, No.41, The 5th Avenue TEDA, Tianjin, China 300457 t (86-22) 65288000 f (86-22) 25295252 www.sgs.com.cn
中国·天津市经济技术开发区第五大街41号SGS大厦 邮编: 300457 t (86-22) 65288000 f (86-22) 25295252 e sgs.china@sgs.com

Test Results :

Test Part Description :

Specimen No.	SGS Sample ID	Description
SN1	TSN18-018954.001	orange solid with silvery metal and transparent glass (mix all)

Remarks :

- (1) 1 mg/kg = 0.0001%
- (2) MDL = Method Detection Limit
- (3) ND = Not Detected (< MDL)
- (4) "-" = Not Regulated

Cadmium, Lead, Mercury, Hexavalent Chromium, PBBs(Polybrominated biphenyls), PBDEs (Polybrominated biphenyl ethers),Dibutyl phthalate (DBP),Butyl benzyl phthalate (BBP),Bis (2-ethylhexyl) phthalate (DEHP) and Diisobutyl Phthalates (DIBP)

Test Method : With reference to IEC 62321-5:2013, IEC 62321-4:2013+AMD1:2017, IEC 62321-7-2:2017, IEC 62321-6:2015 and IEC 62321-8:2017, analyzed by ICP-OES, UV-Vis and GC-MS.

Test Item(s)	Unit	MDL	001
Cadmium (Cd)	mg/kg	2	ND
Lead (Pb)	mg/kg	2	68304▲
Mercury (Hg)	mg/kg	2	ND
Hexavalent Chromium (Cr(VI))	mg/kg	8	ND
Sum of PBBs	mg/kg	-	ND
Monobromobiphenyl	mg/kg	5	ND
Dibromobiphenyl	mg/kg	5	ND
Tribromobiphenyl	mg/kg	5	ND
Tetrabromobiphenyl	mg/kg	5	ND
Pentabromobiphenyl	mg/kg	5	ND
Hexabromobiphenyl	mg/kg	5	ND
Heptabromobiphenyl	mg/kg	5	ND
Octabromobiphenyl	mg/kg	5	ND
Nonabromobiphenyl	mg/kg	5	ND
Decabromobiphenyl	mg/kg	5	ND
Sum of PBDEs	mg/kg	-	ND
Monobromodiphenyl ether	mg/kg	5	ND
Dibromodiphenyl ether	mg/kg	5	ND
Tribromodiphenyl ether	mg/kg	5	ND
Tetrabromodiphenyl ether	mg/kg	5	ND

Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at <http://www.sgs.com/en/Terms-and-Conditions.aspx> and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at <http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Documents.aspx>. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN.Doccheck@sgs.com



Test Report

No. TSNEC1801895401

Date: 12 Oct 2018

Page 3 of 8

<u>Test Item(s)</u>	<u>Unit</u>	<u>MDL</u>	<u>001</u>
Pentabromodiphenyl ether	mg/kg	5	ND
Hexabromodiphenyl ether	mg/kg	5	ND
Heptabromodiphenyl ether	mg/kg	5	ND
Octabromodiphenyl ether	mg/kg	5	ND
Nonabromodiphenyl ether	mg/kg	5	ND
Decabromodiphenyl ether	mg/kg	5	ND
Dibutyl phthalate (DBP)	mg/kg	50	ND
Butyl benzyl phthalate (BBP)	mg/kg	50	ND
Bis (2-ethylhexyl) phthalate (DEHP)	mg/kg	50	ND
Diisobutyl Phthalates (DIBP)	mg/kg	50	ND

Notes :

- (1) IEC 62321 series is equivalent to EN 62321 series
http://www.cenelec.eu/dyn/www/f?p=104:30:1742232870351101:::FSP_ORG_ID,FSP_LANG_ID:1258637,25
- (2) On 4 June 2015, Commission Directive (EU) 2015/863 was published in the Official Journal of the European Union (OJEU) to include the phthalates BBP, DBP, DEHP and DIBP into ANNEX II of the Rohs Recast Directive. The new law restricts each phthalate to no more than 0.1% in each homogeneous material of an electrical product.
- (3) The restriction of DEHP, BBP, DBP and DIBP shall apply to medical devices, including in vitro medical devices, and monitoring and control instruments, including industrial monitoring and control instruments, from 22 July 2021.
- (4) The restriction of DEHP, BBP, DBP and DIBP shall not apply to cables or spare parts for the repair, the reuse, the updating of functionalities or upgrading of capacity of EEE placed on the market before 22 July 2019, and of medical devices, including in vitro medical devices, and monitoring and control instruments, including industrial monitoring and control instruments, placed on the market before 22 July 2021.
- (5) The restriction of DEHP, BBP and DBP shall not apply to toys which are already subject to the restriction of DEHP, BBP and DBP through entry 51 of Annex XVII to Regulation (EC) No 1907/2006.
- (6) Pb content only for reference
- (7) ▲=According to the declaration from the client, Lead (Pb) in No.001 is exempted by EU RoHS directive 2011/65/EU based on [ANNEX III 7(c)-I]: Electrical and electronic components containing lead in a glass or ceramic other than dielectric ceramic in capacitors, e.g. piezoelectronic devices, or in a glass or ceramic matrix compound.

Halogen

Test Method : With reference to EN 14582: 2016, analysis was performed by Ion Chromatograph (IC).



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at <http://www.sgs.com/en/Terms-and-Conditions.aspx> and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at <http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Documents.aspx>. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention: To check the authenticity of testing / inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN.Doccheck@sgs.com

Test Report

No. TSNEC1801895401

Date: 12 Oct 2018

Page 4 of 8

<u>Test Item(s)</u>	<u>Unit</u>	<u>MDL</u>	<u>001</u>
Fluorine (F)	mg/kg	50	ND
Chlorine (Cl)	mg/kg	50	ND
Bromine (Br)	mg/kg	50	ND
Iodine (I)	mg/kg	50	ND

Perfluorooctane Sulfonates (PFOS) and Perfluorooctanoic Acid (PFOA)

Test Method : With reference to CEN/TS 15968:2010, analysis was performed by LC-MS.

<u>Test Item(s)</u>	<u>Limit</u>	<u>Unit</u>	<u>MDL</u>	<u>001</u>
Perfluorooctane Sulfonates (PFOS)^	10	mg/kg	10	ND
Perfluorooctanoic Acid (PFOA)	-	mg/kg	10	ND

Notes :

- (1) Max. limit specified by commission regulation (EU) No. 757/2010 amending Regulation (EC) No 850/2004 (previously restricted under entry 53 of Regulation (EC) No 552/2009 amending Annex XVII of REACH Regulation (EC) No 1907/2006).
- (2) ^: PFOS refer to Perfluorooctanesulfonic acid and its derivatives including Perfluorooctanesulfonic acid, Perfluorooctane sulfonamide, N-Methylperfluorooctane sulfonamide, N-Ethylperfluorooctane sulfonamide, N-Methylperfluorooctane sulfonamidoethanol and N-Ethylperfluorooctane sulfonamidoethanol.

The sample(s) was/were analyzed on behalf of the applicant as mixing sample in one testing. The above result(s) was/were only given as the informality value and only for reference.



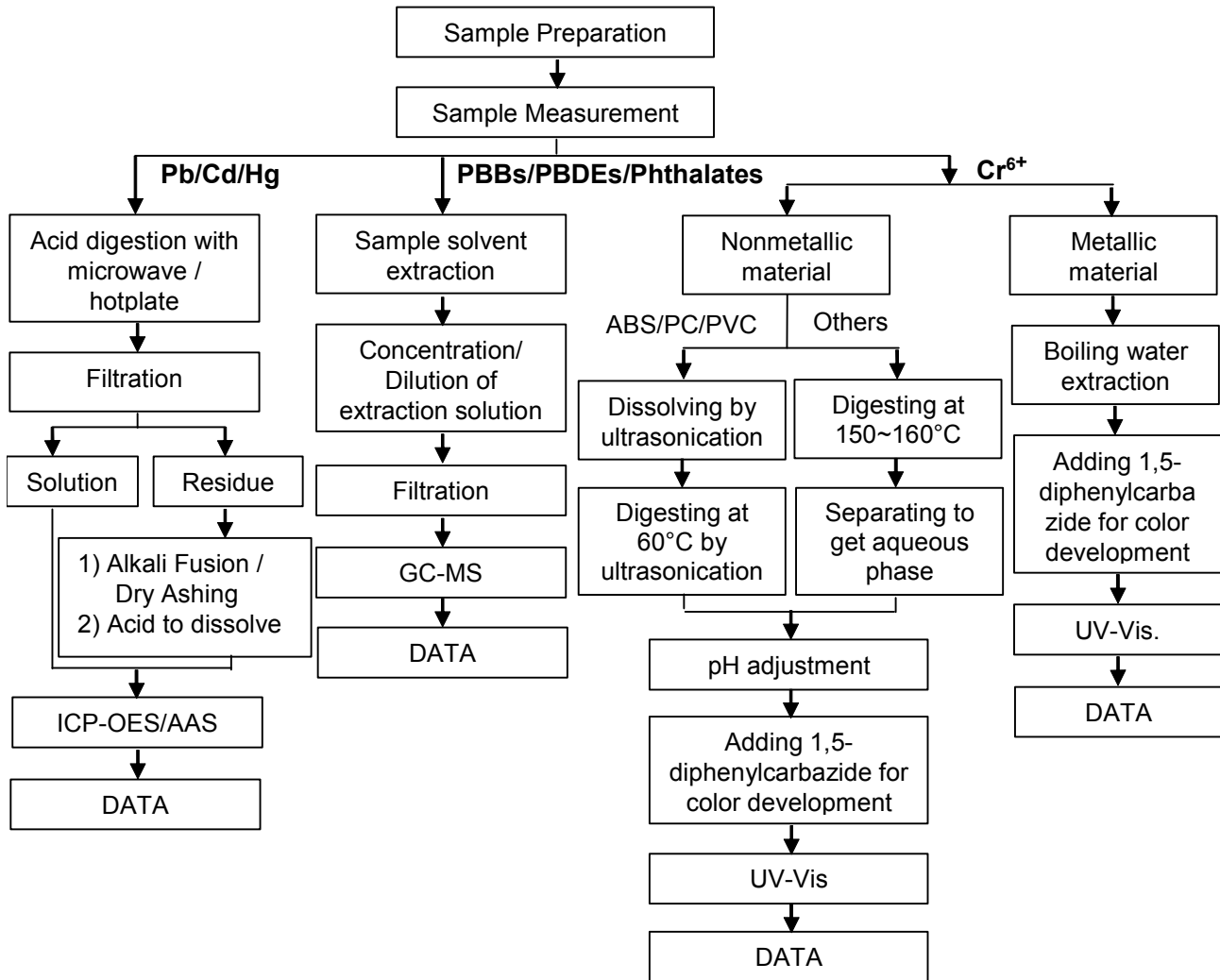
Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at <http://www.sgs.com/en/Terms-and-Conditions.aspx> and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at <http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Documents.aspx>. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention: To check the authenticity of testing / inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN.Doccheck@sgs.com

ATTACHMENTS

Pb/Cd/Hg/Cr⁶⁺/PBBs/PBDEs/Phthalates Testing Flow Chart

1) These samples were dissolved totally by pre-conditioning method according to below flow chart. (Cr⁶⁺ and PBBs/PBDEs/Phthalates test method excluded)

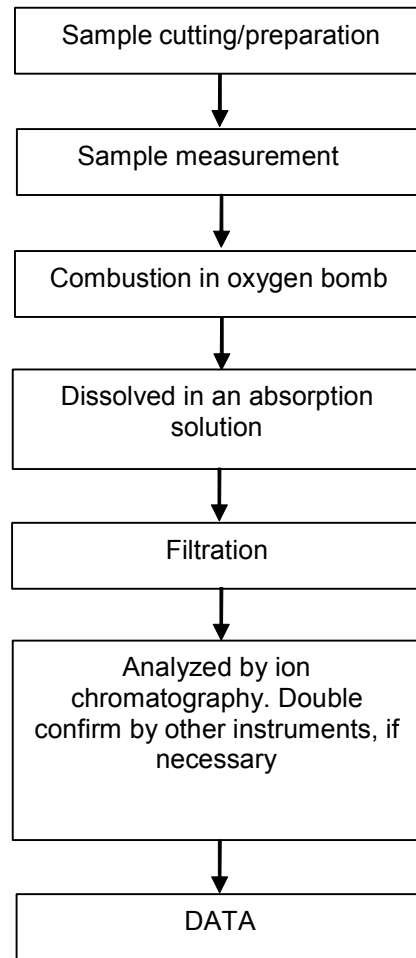


Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at <http://www.sgs.com/en/Terms-and-Conditions.aspx> and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at <http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Documents.aspx>. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention: To check the authenticity of testing / inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN.Doccheck@sgs.com

ATTACHMENTS

Halogen Testing Flow Chart

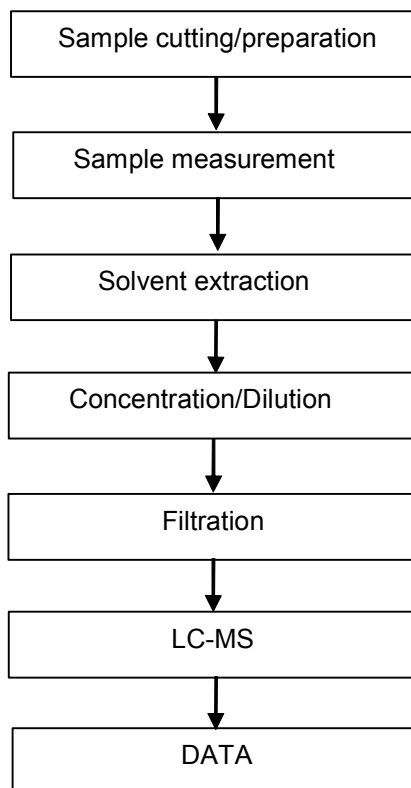


Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at <http://www.sgs.com/en/Terms-and-Conditions.aspx> and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at <http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Documents.aspx>. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN.Doccheck@sgs.com

ATTACHMENTS

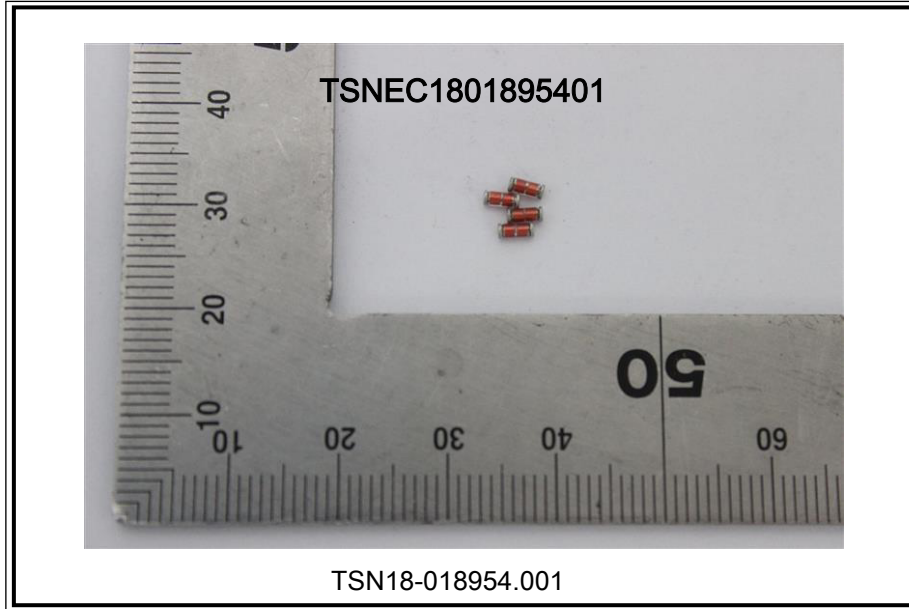
PFOS/PFOA Testing Flow Chart



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at <http://www.sgs.com/en/Terms-and-Conditions.aspx> and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at <http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Documents.aspx>. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN.Doccheck@sgs.com

Sample photo:



SGS authenticate the photo on original report only

*** End of Report ***



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at <http://www.sgs.com/en/Terms-and-Conditions.aspx> and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at <http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Documents.aspx>. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention: To check the authenticity of testing / inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN.Doccheck@sgs.com

SGS Mansion, No.41, The 5th Avenue TEDA, Tianjin, China 300457 t (86-22) 65288000 f (86-22) 25295252 www.sgsgroup.com.cn
中国·天津市经济技术开发区第五大街41号SGS大厦 邮编: 300457 t (86-22) 65288000 f (86-22) 25295252 e sgs.china@sgs.com